Instructions for evaluating the reliability and relevance of *in vitro* toxicity studies using the SciRAP tool.

Note: this guidance also applies to use of the SciRAP in vitro tool for evaluating in vitro studies on nano materials.

Introduction:

The SciRAP tools for evaluating *in vitro* studies and *in vitro* studies on nano materials allow for evaluation of reliability (divided into reporting quality and methodological quality) and relevance. The evaluation often has to be endpoint-specific, meaning that the evaluation is carried out focusing on one of several endpoints/effects investigated in the study. Separate evaluations may thus be necessary for different endpoints in one study.

Download the excel file containing the assessment sheet available on the SciRAP website. The assessment sheet contains pre-defined criteria/items to be evaluated in 3 sections for **reporting quality**, **methodological quality**, and **relevance**. The reporting and methodological quality sections are divided in specific categories: Test compound and controls, Test system, Administration of the test compound, Data collection and analysis, Funding and competing interests (only in the reporting quality section), and Other (**Fig. 1**). The SciRAP tool for studies on nano materials also contains a category of reporting quality criteria for Physicochemical properties of the test item.

| | METHODOLOGICAL QUALITY | SELECTION | COMMENT |
|----|--|-----------|---------|
| | Test compound and controls | | |
| 1 | The test compound or mixture was unlikely to contain any impurities that may significantly have affected the results of the study. | | |
| 2 | It was likely that the test compound was soluble at the concentrations used. | | |
| 3 | An appropriate solvent (vehicle) was used that is not expected to interfere with the results of the study at the concentration used. | | |
| 4 | A solvent (vehicle) control was included. | | |
| 5 | An appropriate positive control was included, and the expected result was observed from this treatment. | | |
| | Test System | | |
| 6 | A reliable and sensitive test system (e.g., cell line / cells/ tissue / organ / embryo / sub-cellular fractions) with metabolic competence, if relevant, was used for investigating the test compound and endpoints. | | |
| - | Conditions for cultivation and/or maintenance of the cell line / cells / tissue / organ /embryo / sub-cellular fractions (incubation temperature, | | |
| 1 | humidity, CO2 concentration, media used, number of cell passages, control of contamination) were appropriate. | | |
| | Administration of the test compound | | |
| 8 | The duration of exposure was suitable for the test system and investigated endpoints. | | |
| 9 | The concentrations used were suitable for the test system and investigated endpoints. | | |
| 10 | The test conditions during and after exposure to the test compound were suitable (media and serum used, cell density, incubation temperature, humidity, CO2 concentration). | | |
| | Data collection and analysis | | |
| 11 | Reliable and sensitive tests and/or analytical methods were used for investigating the endpoints. | | |
| 12 | Sufficient numbers of replicates or repetitions of the experiment were used to generate reliable and valid results. | | |
| 13 | Measurements were collected at suitable time points in order to generate sensitive, valid and reliable data. | | |
| 14 | Cytotoxicity was measured and the test compound did not cause cytotoxicity that significantly affected the results. | | |
| 15 | The statistical methods were clearly described and do not seem inappropriate, unusual or unfamiliar. | | |
| | Other | | |
| 16 | Are there any other aspects of study design, performance or reporting that influence reliability? | | |

Fig. 1 Categories of criteria in Methodological Quality section of the SciRAP tool.

Evaluation may be conducted for either reporting quality, methodological quality, or relevance, or all three, depending on the purpose of evaluation. Although not required, evaluating reporting quality of the study before moving into the evaluation of methodological quality and relevance may in some cases save time and resources as it allows for identification of studies that have obvious deficiencies in reporting, hampering further evaluation.

Evaluation of the criteria:

When you evaluate the criteria/items, choose one of the options from the drop-down menu in the "SELECTION" column (fulfilled, partially fulfilled, or not fulfilled for reporting and methodological quality, directly relevant, indirectly relevant, or not relevant in the relevance section, (**Fig. 2**). This drop-down menu is in almost every cell in the "SELECTION" column.

| no | REPORTING QUALITY | SELECTION | COMMENT |
|----|--|---------------------|---------|
| | Test compound and controls | | |
| 1 | The chemical name or other identification, such as CAS-number, of the test compound was given. | fulfilled | |
| 2 | The purity of the test compound was stated or is traceable according to information given regarding manufacturer and lot/batch number. | | |
| 2 | In case of mixtures, the composition of different constituents was stated. | fulfilled | |
| 3 | The solubility of the test compound was described. | partially fulfilled | _ |
| 4 | The solvent (vehicle) was described. | partially fulfilled | - |
| 5 | It was stated that a solvent (vehicle) control was included. | fulfilled | |
| | Test System | partially fulfilled | |
| 6 | The test system (e.g., cell line / cells/ tissue / organ / embryo / sub-cellular fractions) was described. | not fulfilled | |
| 7 | The source of the test system was stated. | not determined | |
| 8 | The metabolic competence, i.e., competence of the test system to metabolize the test compound into an active metabolite was | REMOVE | |

Fig. 2 Drop-down menu for the criteria in Reporting and Methodological Quality sections of the SciRAP tool.

Guidance for evaluating individual methodological quality criteria and relevance items is available by pointing to the criterion with the cursor (the criterion containing the guidance has a red right corner, **Fig. 3**).

| | METHODOLOGICAL QUALITY | SELECTION | COMMENT | | | | | |
|---|--|--|---------------------|----------------------------------|--|--|--|---|
| | Test compound and controls | | | | | | | |
| 1 | The test compound or mixture was unlikely to contain any impurities that may significantly have affected the results of the study. | fulfilled | | | | | | |
| 2 | It was likely that the test compound was soluble at the concentrations used. | partially fulfilled | | | | | | |
| 3 | An appropriate solvent (vehicle) was used that is not expected to interfere with the results of the study at the concentration used. | not fulfilled | | | | | | |
| 4 | A solvent (vehicle) control was included. | hot determined Guidance: | | | | | | _ |
| 5 | An appropriate positive control was included, and the expected result was observed from this treatment. | An untreated or vehicle control should always be included as it is critical for determining treatment-related effects. Control sa should be handled in the same way as treated samples. | | related effects. Control samples | | | | |
| | Test System | | | | | | | |
| 6 | A reliable and sensitive test system (e.g., cell line / cells/ tissue / organ / embryo / sub-cellular fractions) with metabolic competence, if relevant, | How to judge this criterion: | | | | | | |
| 0 | was used for investigating the test compound and endpoints. | | | | | | | |
| - | Conditions for cultivation and/or maintenance of the cell line / cells / tissue / organ /embryo / sub-cellular fractions (incubation temperature, | Fulfilled – An untreated or vehicle control was included. | | | | | | |
| ' | humidity, CO2 concentration, media used, number of cell passages, control of contamination) were appropriate. | | | | | | | |
| | Administration of the test compound | Partially fulfilled - It is not explicitly stated that an untreated or vehicle control was included, but it is likely that it was in- | | s likely that it was included. | | | | |
| 8 | The duration of exposure was suitable for the test system and investigated endpoints. | Not fulfilled – no untreated or vehicle control was included. | | | | | | |
| 9 | The concentrations used were suitable for the test system and investigated endpoints. | f | a or venicle contro | was mouded. | | | | _ |

Fig. 3 Guidance for evaluating each criterion in the SciRAP tool.

Criterion no. 24 in the reporting quality section and criterion no. 16 in methodological quality section of the SciRAP *in vitro* tool, and no. 38 and 19 in the tool for studies on nano materials, provide space for free text comments on additional aspects that affect study reliability. These criteria do not contain the drop-down menu with options.

You may use the "COMMENT" column to write free text comments, for example explaining your evaluation of a specific criterion (**Fig. 4**).

| | METHODOLOGICAL QUALITY | SELECTION | COMMENT |
|---|--|---------------------|---------------------|
| | Test compound and controls | | |
| 1 | The test compound or mixture was unlikely to contain any impurities that may significantly have affected the results of the study. | fulfilled | |
| 2 | It was likely that the test compound was soluble at the concentrations used. | partially fulfilled | |
| 3 | An appropriate solvent (vehicle) was used that is not expected to interfere with the results of the study at the concentration used. | not fulfilled | |
| 4 | A solvent (vehicle) control was included. | not determined | WRITE COMMENT HERE! |
| 5 | An appropriate positive control was included, and the expected result was observed from this treatment. | fulfilled | |

Fig. 4 Writing a note in the "COMMENT" column.

Judging criteria as "not determined"

If a criterion cannot be judged, you can select the option "not determined" in the drop-down menu (**Fig. 2**). This is primarily intended for methodological quality criteria when sufficient information is lacking to make a judgment regarding whether the criterion is fulfilled or not. Note that for reporting quality, if information is missing you should select "not fulfilled".

Removing criteria

Individual criteria may be considered more or less critical in the specific case you are working on, and the SciRAP tool includes a function to remove criteria for reporting and methodological quality. In that case, choose "REMOVE" in the drop-down menu of the "SELECTION" column instead of fulfilled, partially fulfilled, not fulfilled (**Fig. 2**). Removed criteria will not be included in the colour profile or % fulfilled criteria calculation. Motivations for removing criteria can be provided in the "COMMENT" column (**Fig. 4**).

NOTE: removing criteria will have an impact on the colour profile and the % fulfilled criteria. It is therefore important that the same criteria are removed in evaluations that are going to be compared to each other. Items in the Relevance section cannot be removed.

Interpreting the results of the SciRAP tool:

Results of the study assessment are shown right below the relevance section of the SciRAP tool in the form of % fulfilled criteria, as well as a colour profile.

| | % FULFILLED CRITERIA | | |
|---------------------------------|----------------------|--------|--|
| | REPORTING | METHOD | |
| Study overall | 52.27 | 40.00 | |
| Test compounds and controls | 62.50 | 50.00 | |
| Test system | 50.00 | 25.00 | |
| Administration of test compound | 37.50 | 50.00 | |
| Data collection and analysis | 60.00 | 30.00 | |
| Funding and competing interests | 50.00 | | |

Fig. 5 Table with % fulfilled criteria.

Percent fulfilled criteria

The results show % fulfilled criteria of for the study overall, as well as for the specific criteria categories (**Fig. 5**).

• The % fulfilled criteria is calculated as follows: $SciRAP \ score \ (\%) = \frac{F + (PF * 0.5)}{T} * 100\% \ / \ SciRAP \ score \ (\%) = \frac{DR + (IR * 0.5)}{T} * 100\%$ where F is the number of fulfilled criteria, PF is the number of partially fulfilled criteria, and T is the total number of criteria. In other words, partially fulfilled criteria contribute half the value as fulfilled criteria. Criteria that have been removed are excluded from the calculation.

The % fulfilled criteria can have a value ranging from 0 (all criteria are judged as "not fulfilled"/"not determined") to 100 (all criteria are judged as "fulfilled").

NOTE:

- selecting "not determined" for a criterion will have the same impact as "not fulfilled" on the % fulfilled value. It is therefore advisable to leave as few criteria as possible as "not determined", and the user should take care to note the reason for leaving a criterion as "not determined".
- removing criteria will have an impact on the % fulfilled criteria, as well as the colour profile. It is therefore important that the same criteria are removed in evaluations that are going to be compared to each other.
- importantly, the % fulfilled criteria cannot be considered on its own but should be interpreted together with the colour profile when concluding on study reliability. The colour profile is crucial to identify where a study's strengths and weaknesses lie and is more informative than the % fulfilled criteria for this purpose.

Colour profile

In the colour profile, the evaluations of reliability and relevance are illustrated in bar charts (**Fig. 6**), showing green for fulfilled criteria, yellow for partially fulfilled and red for criteria that were not fulfilled. Criteria that were "not determined" will be shown as grey. Relevance items evaluated as relevant are shown as green, indirectly relevant items are shown as yellow, and if the item was evaluated as being not relevant for the risk assessment or problem formulation, it is shown as red. The bar charts do not include criteria that have been removed.

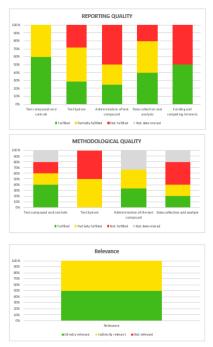


Fig. 6 The evaluations of reliability and relevance are illustrated in bar charts.

Categorisation of reliability and relevance

The SciRAP tool does not provide cut-off values or a pre-defined scheme for categorisation of the reliability and relevance of *in vivo* toxicity data. Principles for such categorisation needs to be established on a case-by-case basis and should be fit for purpose for the assessment at hand. Some examples of how the output of the SciRAP evaluation can be used in different contexts, including weight of evidence assessment, are provided in published articles. For example:

Holmer ML, Zilliacus J, Draskau MK, Hlisníková H, Beronius A, Svingen T. 2024. Methodology for developing data-rich Key Event Relationships for Adverse Outcome Pathways exemplified by linking decreased androgen receptor activity with decreased anogenital distance. Reprod Toxicol. 128:108662. doi: 10.1016/j.reprotox.2024.108662. Epub ahead of print. PMID: 38986849.

Röhl C, Batke M, Damm G, Freyberger A, Gebel T, Gundert-Remy U, Hengstler JG, Mangerich A, Matthiessen A, Partosch F, Schupp T, Wollin KM, Foth H. 2022. New aspects in deriving healthbased guidance values for bromate in swimming pool water. Arch Toxicol. 96(6):1623-1659. doi: 10.1007/s00204-022-03255-9.PMID: 35386057; PMCID: PMC9095538.

Wiklund L and Beronius A. 2022. Systematic evaluation of the evidence for identification of endocrine disrupting properties of Bisphenol F. Toxicology. 476:153255. doi: https://doi.org/10.1016/j.tox.2022.153255

If you have any questions, please do not hesitate to contact us at <u>anna.beronius@ki.se</u>.